XYLITOL AND DENTAL CARIES

Kauko K. Mäkinen and Arje Scheinin

Institute of Dentistry, University of Turku, SF-20520 Turku 52, Finland

CONTENTS	
INTRODUCTION	133
THE ANIMAL MODEL	134
CLINICAL CARIES TRIALS: TURKU SUGAR STUDIES Two-Year Clinical Trial One-Year Intake of Chewing Gum	135 135 136
DISCUSSION OF THE TRIALS AND FURTHER FINDINGS	137
EFFECT OF XYLITOL ON PLAQUE QUANTITYEFFECT OF XYLITOL ON THE GROWTH OF ORAL	138
MICROORGANISMS	139
METABOLIC PROPERTIES OF DENTAL PLAQUE AS AFFECTED BY XYLITOL	141
EFFECT OF XYLITOL ON SALIVARY PARAMETERS	143
MICROBIAL ADAPTATION	144
COMPLEXING OF POLYOLS WITH METAL IONS	144
CONCLUSIONS AND CONSIDERATIONS	145

INTRODUCTION

All forms of dental caries are due to microorganisms (1). Numerous studies have thus been conducted in order to identify and define these organisms (2). Such studies have also considered the influence of dietary carbohydrates (sugars in general and sucrose in particular) as substrates on which microbes could form the entire range of noxious metabolites that play a key role in dental hard tissue destruction and the formation of dental caries.

Recently, however, a parallel line of research suggested that the cariogenicity of various carbohydrate sweeteners may vary within extreme limits, implying that several of these substances were low- or noncariogenic.

In the case of xylitol, a pentahydric sugar alcohol, anticariogenic properties were suggested (3).

The chemical properties of xylitol have been reviewed (4). Its most important properties in relation to dental caries are: (a) open-chain structure; (b) absence of reducing carbonyl groups, a fact that renders xylitol (and sugar alcohols in general) chemically less reactive than the corresponding aldoses and ketoses; (c) the shorter "length" of the xylitol molecule when compared with that of hexitols; (d) the similarities in the configuration at various C-atoms with common sugars; and (e) The ability of xylitol (and several other sugar alcohols) to form complexes with certain metal cations $(Ca^{2+}$, for example) or compounds containing these metal atoms. These properties of xylitol affect the metabolisms of both microbial and mammalian species. The fitness

its ubiquity in nature (4-6), its established safety in moderate, peroral human use (7-10) and its participation as a normal intermediate in human metabolism—e.g. in the glucuronate-xylulose cycle (4).

In this review we survey xylitol's anticariogenic aspect, with particular regard to xylitol-induced effects in the animal model and as observed in clinical caries trials. Furthermore, we view the background of these mechanisms in terms of microbiological observations, physico-chemical effects in plaque and saliva, influence on enzymatic activities, and biochemical effects.

THE ANIMAL MODEL

With one exception (11), experimental studies in rats demonstrated an extremely low caries rate in relation to a xylitol-containing diet (12-21). Total substitution of xylitol for sucrose in the diets of rats resulted in caries scores comparable to (22) or lower than (20) those of sugar-free controls.

Partial substitution of xylitol for dietary sucrose is considered a more realistic approach than total substitution. The results from such experimental studies are contradictory; sucrose supplemented with xylitol did not result in a caries reduction (12, 23), although the severity scores were significantly

alternate feeding of sucrose and xylitol was associated with low increment scores (19, 24). Of particular interest was the experiment of Gey & Kinkel (25), in which rinsing with a xylitol solution after sucrose-containing meals decreased the cariogenicity of the diet. Similarly, dentinal caries in rats, produced by exposure to sucrose, was significantly

supplemented diet (26, 27). Not merely a bland noncariogenic agent, xylitol was found to exert a genuinely therapeutic action against caries (27).

The animal model has permitted experimentation that for various ethical, logistic, and economic reasons is not possible in human subjects (28, 21).

Such studies suggest extreme differences between the cariogenicity of sucrose and xylitol. Despite certain limitations preventing direct translation to human caries, ingenious animal models are expected to contribute to the understanding of cariostatic mechanisms.

CLINICAL CARIES TRIALS: TURKU SUGAR STUDIES

So far, experimental information on xylitol's effect on human caries derives from two clinical trials. Four other studies are in progress; the results from these WHO clinical-trial/field-studies studies), and Hungary are expected in 1982–1984.

Two-Year Clinical Trial

The first

fructose (F) or xylitol (X) during a period of 2 years. In addition to the clinical and radiographic registrations of dental caries, biochemical and microbiological investigations were carried out in order to monitor oral and general health and to identify eventual side effects.

The initial population consisted of 125 subjects, mean age 27.6 years. The subjects were divided partly on individual preference basis into three groups. The S-group comprised 35, the F-group 33, and the X-group 52 subjects, the latter group rendered oversize in case some of its members quit the experiment. During the study 10 subjects discontinued or were otherwise excluded. Only in one case was this due to osmotic diarrhea in relation to xylitol consumption; the other cases were due to difficulties in adhering to the strict dietary regimen, to other personal reasons, and in three cases—two in the S-group and one in the F-group—to excessive caries incidence. The final results were thus based on 115 individuals.

Initially no significant differences were observed with regard to age, sex, number of primary and secondary carious surfaces, filled extracted teeth, or the DMFS-index. During the trial, dental conditions were registered on eight occasions, the frequent inspections being due to the potential need for early diagnosis, treatment, and termination of participation in cases of adverse reaction. The clinical and radiographic registrations were utilized for calculating the reversals in diagnosis between the examinations, and the resulting net change. The positive reversals involved a change in diagnosis from an intact to a carious or filled surface, or, when considering an increment in size, from a white spot lesion to a defect. A negative reversal indicated a corresponding change in the opposite direction. Consequently net increment was expressed as new carious surfaces—i.e. positive minus negative reversals, according to the recommendations of the FDI

Commission on Classification and Statistics for Oral Conditions (30).

According to the clinical and radiographic registrations, carried out without knowledge of the experimental group, a highly significant reduction (exceeding 85%) was found in the X-group when compared to the S-group after one year of the dietary regimen. After two years the increment in the number of decayed, missing, and filled tooth surfaces was 7.2 in the S-group, 3.8 in the F-group, and 0.0 in the X-group. The caries incidence was also expressed in combined quantitative and qualitative terms, by considering in addition to the increment in terms of the conventional DMFS-index also the changes in lesion size and the incidence of secondary caries. Irrespective of the way of expressing the caries increment rate in qualitative, quantitative, or combined terms, the reduction in the caries incidence in the X-group exceeded 85%, (30% in the F-group) in comparison to the S-group.

One-Year Intake of Chewing Gum

In the second study (31) the initial population comprised 102 subjects, mean age 22.2 years. The registration of dental conditions was carried out clinically and radiographically (29). Before the study, dietary habits were analyzed (32). The population was divided randomly into the future S- and X-groups.

The subjects were instructed to maintain their regular dietary habits and oral hygiene procedures. Instructions were further given to consume between three and seven sticks of chewing gum per day (sucrose- or xylitol-sweetened for S- and X-groups, respectively, and to keep a complete record of the consumption during the projected study year.

The final results were based on 100 subjects. Initially there were no significant differences between the groups with regard to the experimental variables, except that the number of missing teeth was slightly but significantly higher among the females in the X-group than in the S-group. This feature was considered to have no influence on the results obtained. The consumption of chewing gum, calculated as average number of sticks per day, was 4.0 in the S-group and 4.5 in the X-group, the difference between the groups being insignificant.

culated before and during the study as the sum of the S-containing products ingested in liquid and solid form, at and between meals, was 4.24 times per subject per day in the S-group and 4.94 in the X-group.

The caries incidence rate was calculated in terms of the conventional DMFS-index, as well as in combined quantitative and qualitative terms corresponding to those used in the two-year clinical trial discussed above (29). Regardless of measurement mode, the reduction of the caries incre-

ment rate in the X-group (chewers of xylitol gum) was 82% greater than that of the S-group.

DISCUSSION OF THE TRIALS AND FURTHER FINDINGS

Some of the inherent weaknesses of the first trial (29)

second study. In the latter, for example, a total substitution of sucrose through fructose or xylitol was not attempted, and subjects were assigned to their groups on a random basis. Other weaknesses in the experimental design—e.g. a young, homogeneous age group would have been advantageous—remain common to both studies. However, the solidity of the above long-term trials may rest on the general arrangement, the independently assessed clinical and radiographic findings

of biochemical and microbiological assays of plaque and saliva, and followup studies monitoring the health of the subjects.

The assessment of caries in terms of quantitative and qualitative reversals, and particularly the evaluation of changes in size of buccally located carious lesions through stereomicroscopic registration (33) has been criticized, mainly because this use of unconventional methods renders comparison with other studies difficult.

In fact, the values originating from the microscopic registrations were not included in the results so far published (29, 30). These findings utilized in conjunction with a planimetric evaluation of incipient caries (34). We emphasize, however, that the caries increment rate in these trials was presented in regular terms, the increment of the DMFS-index being based solely on clinical and radiographic registrations of caries. The changes in number and size of lesion, as evaluated clinically and radiographically for primary and secondary caries, were presented separately. An attempt was thus made to depict the total quantitative and qualitative development, in order to add dimensions that otherwise escape attention. The conventional DMFS-index does not provide a complete description of the total quantitative development, as the incidence of secondary caries remains obscured by such tooth surfaces already being considered as filled.

the microscopic evaluation of the buccal lesions were not included in the results so far presented (29, 31) but will be published separately in conjunction with a planimetric analysis of the findings

Other trials on sugar substitutes invariably indicate significant caries reductions in comparison to sucrose. Most such studies concern the replacement of sucrose with polyols and mixtures of these—i.e. sorbitol (35–39) and Lycasin (40). Caries activity also decreased when sucrose was replaced

by an equimolecular mixture of fructose and glucose (41). The reduction thus achieved, especially during the second year of the trial, resulted in an overall development markedly similar to that observed with fructose substitution (29).

The results from the above trials were reviewed in terms of duration, age and number of subjects, compound and vehicle used, frequency of intake, and caries reduction in relation to groups exposed to known or *ad libitum* intake of sucrose (42). A detailed comparison seems unjustified, and we emphasize the need for further controlled clinical trials of sufficient duration, preferably through large-scale field prevalence populations.

The fundamental reasoning for sugar substitution is based on the concept of replacing sucrose, particularly in foodstuffs proven to be highly cariogenic. In addition to the many studies containing circumstantial evidence for the cariogenicity of sucrose, the strong covariation between sucrose consumption and caries scores, this property is further inferred from studies where definite

(43, 44). Recently, dietary restriction of sugar was found not only to enhance other methods but to be the most effective single method of caries prevention, an essential part of a regimen to achieve a caries-free state (45). Thus the very low incidence of dental caries in relation to xylitol consumption requires special consideration. It may be argued that the effect in the 2-year feeding study was achieved through virtually complete omission of dietary sucrose. However, partial substitution of sucrose by xylitol achieved the same low caries incidence rate as that recorded in connection with total substitution, indicating that xylitol may possess a therapeutic, caries-inhibitory effect (3). It seems unlikely, however, that such mechanisms could be demonstrated beyond doubt by negative reversals in clinical caries trials. Nevertheless, the results from three recent studies indicate that xylitol may enhance natural defence mechanisms. Xylitol influenced lesion formation and remineralization by means of physicochemical effects (46). Regression of fissure

Remineralization/rehardening due to xylitol-supplemented diets was reported in bovine enamel in subjects with rampant caries. Such development occurred particularly in predemineralized enamel (47).

EFFECT OF XYLITOL ON QUANTITY OF PLAQUE

Dental plaque forms as a gelatinous organized structure on the teeth, which consists mostly of microorganisms imbedded in an extracellular matrix of salivary, microbial and dietary origin (48–51). The amount and growth rate of dental plaque in the human depend on factors determined by the oral

hygiene, dietary habits, and genetic properties of the individual. Dental plaque is involved in both the initiation and propagation of dental caries. Sucrose and other common, soluble carbohydrates consisting of C₆-units usually enhance plaque growth (48, 49). Partial substitution of dietary sucrose with xylitol for a period of 4 or 5 days on reduced oral hygiene decreased the plaque fresh weight by 50% (52, 53). A similar reduction in plaque fresh weight was obtained after 2- or 3-day consumption of chewing gum containing about 50% xylitol (54–56). The visible plaque index decreased in children given a xylitol-sorbitol mixture (1:0.67) in the form of gum arabic pastils and chewing gums for a period of two weeks (57) and after the use of chewable xylitol pastils for a period of two months (58).

In another partial-substitution study 1-month use of xylitol-sweetened chewing gums significantly

results were obtained by determining the plaque fresh weight and clinical plaque indexes by conventional methods. Application of planimetric, computerized methods supported these findings

ing gum trial (31), the consumption of xylitol-sweetened (49%) chewing gum significantly

responding consumption of sucrose-sweetened gums (61). Thus daily doses of xylitol amounting to 3-15 g may significantly

plaque provided that the consumption of sucrose is not simultaneously increased.

Plaque registrations were also performed in the 2-year Turku sugar studies. The results (62) were identical to those obtained both in the short-term and partial-substitution studies mentioned above. The human clinical trials so far carried out thus suggest that (a) it is possible to reduce plaque growth by up to 50% and (b) even partial substitution for sucrose may be sufficient. We emphasize, however, that mixtures of sucrose and xylitol should be avoided; substitution here means replacement of sucrose candies and chewing gums with those containing xylitol as the only sweetener (with possible small additions of sorbitol, mannitol, or Lycasin).

EFFECT OF XYLITOL ON THE GROWTH OF ORAL MICROORGANISMS

The Turku sugar studies provided information about the effects of dietary xylitol on human plaque flora

with xylitol did not affect the proportions of major microbial categories in saliva and dental plaque. The acidogenic and aciduric oral flora were, however, reduced (63). The consumption of a xylitol diet significantly ered the incidence of *Streptococcus mutans*, a potent cariogenic organism (64). Similar results were obtained in the 1-year chewing gum trial; the use

of xylitol-sweetened chewing gums was associated with decreased coloniforming unit values on Rogosa S. L. agar (61).

Several short-term in vivo and in vitro experiments have supported the microbiological findings of

and coworkers demonstrated both the poor fermentability of xylitol by rat and hamster oral microorganisms (65) and the absence of Str. mutans in the dental plaque of rats fed xylitol (66). Plaque grown during sucrose feeding, however, harbored these organisms. Other authors have confirmed (a) the unfermentability or very low fermentability of xylitol by Str. mutans and other oral microorganisms and (b) the reduced incidence of Str. mutans in combination with plaque inhibition during xylitol consumption (67–78).

Some additional studies on the effect of xylitol on isolated cultures deserve consideration. The metabolism of several simple carbohydrates was studied with five different xylitol-metabolizing streptococcal strains from the rat oral cavity (75). The amounts of carbohydrates metabolized were smallest with xylitol and sorbitol, and considerably larger with mannitol and fructose. By far the highest levels of CO₂ were measured in the presence of glucose, while for some reason sucrose was not metabolized by these isolated strains at all. The results confirmed

of these microorganisms to use xylitol (75). The oral cavity of rats and hamsters may harbor microorganisms capable of breaking down xylitol at a low rate (65). In rats these are enterococcoid germs and *Proteus* sp., in hamsters short gram-negative rods. The metabolism of polyols by these organisms is, however, slow and forms little acid. Metabolism of xylitol was the poorest (65). Another strain of *Str. mutans*, as well as *Actinomyces viscosus*, neither fermented nor utilized xylitol (74). The little acid formed by a further strain of *Str. mutans* may have stemmed partly from the fermentation of proteinaceous ingredients of the medium used (79).

Although the above-mentioned studies have demonstrated the poor or nil fermentability of xylitol by human oral microorganisms, the existence of a transport mechanism of xylitol into some bacterial cells suggests that xylitol-utilizing microorganisms do occur (4, 80). In some cases xylitol may be transported through a system primarily serving other functions. In *Aerobacter aerogenes* (a non-oral strain) there is an active transport system for D-arabitol, which also acts on xylitol (81). Other related cases have been previously discussed (4, 82).

In view of these findings it is anticipated that occasional low fermentability of xylitol will be displayed in human dental plaque. A few such cases were revealed in the Turku sugar studies (61, 64) and in one in vitro experiment (82). However, the slow fermentation rate demonstrated in vitro does not indicate that similar decomposition will occur to any sizable extent in the oral cavity. In oral biology, it may be desirable to consider the *relative*

fermentability of dietary carbohydrates by plaque microorganisms. The available literature indicates that the relative fermentability of xylitol in the human oral cavity is very low indeed. It can be regarded microbiologically as an almost inert compound in the human dental plaque.

METABOLIC PROPERTIES OF DENTAL PLAQUE AS AFFECTED BY XYLITOL

Dental plaque does not contain specific significant

into plaque microoganisms is nil or very slow (Figure 1) (69, 71, 83, 84).

The metabolic inertness of xylitol in the human dental plaque leads, however, to indirect consequential phenomena, especially if the daily consumption levels of xylitol equal the normal consumption levels of six-carbon sugars. For example, during the consumption of large amounts of xylitol in place of sucrose, the plaque microorganisms become deprived of a preferred substrate and start to synthesize extracellular proteolytic enzymes for

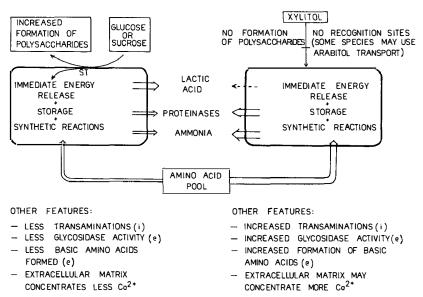


Figure 1 Summarizing, schematic presentation of some biochemical reactions occurring in dental plaque during the consumption of a predominantly hexose-based diet or a xylitol diet. The bacterial cells (shown by the heavy lines) typically represent those of a cariogenic strain of Str. mutans in the logarithmic phase of growth. The biochemical phenomena indicated occur during habitual consumption of the diets indicated, with daily consumption levels of xylitol exceeding 10-20 g. The thickness of the arrows indicate the relative activities or concentrations of enzymes and metabolites, respectively; e = extracellular; i = intracellular; ST = sugar transport.

samples (62, 70, 85).

the hydrolysis of the proteins and peptides of the medium. The cells of *Streptococcus mutans* that were maintained in a medium containing xylitol instead of glucose showed no uptake of ¹⁴[C(U)]-xylitol, but exhibited strong increase in the extracellular proteinase activity (84). Xylitol behaved as an inert carbohydrate with respect to the increases in the extracellular proteinase activities. This finding

general increase in protein metabolism upon starvation of a fermentable carbohydrate (glucose). Similar increases in the proteinase activities occurred in whole saliva and dental plaque when high quantities of xylitol were habitually consumed (62). Because of the nonspecificity

nases discovered (62, 83), they may attack salivary proteins and peptides in vivo. In fact, increased proteinase activity against denatured hemoglobin was found in whole saliva of human subjects consuming high amounts of xylitol (62). Since saliva is rich in glycoproteins, it is understandable that the activity levels of certain plaque glycosidases also increased during habitual xylitol consumption (62). The above reactions of dental plaque were found to be associated with increased transamination rates (62) and sorbitol dehydrogenase levels (85). The increase of sorbitol dehydrogenase activity in plaque may be due to the simultaneous presence of xylitol and sorbitol in the chewing gums used (85). In no case has any significant xylitol drogenase activity been detected in the whole saliva or plaque of subjects habitually consuming xylitol. The activity of this enzyme was nil in most

Striking differences between the sucrose- and xylitol-consuming subjects were found in the activity levels of invertase-like enzymes obtained from whole saliva and dental plaque; the consumption of xylitol reduced this enzyme activity significantly

metabolic consequence is the decreased production of lactic acid in plaque in the presence of xylitol (62, 85). All these metabolic events may be related to the fact that, during sucrose consumption, gluconeogenetic enzymes are no longer necessary. The nitrogen and protein metabolism is thereby reduced. When sucrose is replaced with xylitol, there is an increased search for metabolizable proteinaceous nutrients of the medium (saliva and plaque extracellular phase), with a concomitant increase in the general nitrogen metabolism. There is no evidence that these metabolic changes of plaque would be detrimental to the oral tissues. Some of these phenomena, like the increase in the size of the amino acid pool (87) and the decrease in the production of lactic acid in plaque, are factors that should be included in the explanation of the effect of xylitol on dental caries. The increase of the amino acid pool renders higher deamination rates possible, thereby increasing the concentration of ammonia (58, 62, 87). The presence of glucose in

the medium of *Str. mutans* reduced the free amino acid pool (88). Addition of protein hydrolysates to the medium enlarged the pool. The above biochemical features are summarized in Figure 1.

In contrast with xylitol, the hexitols, sorbitol and mannitol, are readily metabolized by plaque streptococci, almost exclusively by glycolysis (Embden-Meyerhof pathway) (4, 89, 90).

The suitability of a dietary carbohydrate as a sucrose substitute can also be demonstrated by intraoral wire-telemetry. This method revealed that neither xylitol chewing gum nor xylitol mouthwash produced a pH drop of plaque (79, 91–93), whereas with readily fermentable carbohydrates rapid reduction in pH values occurred. pH-Telemetry has thus demonstrated the nonacidogenic nature of xylitol in human use.

EFFECT OF XYLITOL ON SALIVARY PARAMETERS

The consumption of a xylitol diet increased lactoperoxidase (LPO) activity in human (94) and monkey (95) parotid or submandibular saliva. A similar finding was made with the α-amylase of monkey parotid saliva (96). Detailed studies with human parotid saliva in the authors' laboratories suggested that peroral xylitol slightly increased the secretion of sialic acid or sialoproteins (86, 95–97) and sulphate (85, 95) in saliva. The elevated sulphate levels may be due to raised secretion of sulphated polysaccharides.

The possibility that dietary carbohydrates may influence the concentration of salivary enzymes was shown by Walker more than 55 years ago (98). That xylitol consumption may increase the activity levels of salivary LPO is interesting in view of the participation of the LPO-system in defense mechanisms (99–101). The action of this system also requires the presence of SCN⁻. A few studies have indicated that the consumption of large amounts of xylitol was associated with increased levels of salivary SCN-(62, 86). Therefore, in order to elucidate the effects of dietary sugars on the activity of the LPO-system one may have to determine not only the enzyme activity or concentrations but also the levels of the auxiliary compounds (SCN⁻ and H₂O₂) and reaction products (OSCN⁻). Use of xylitol in the form of mouthwash or chewing gum caused a quick increase in the pH value of whole saliva (93). Since this pH response occurs within 120 sec following stimulation of saliva, it is possible that basic equivalents rapidly appear in saliva not only from plaque but from the oral mucosa as well (97). These findings thus suggest that dietary xylitol exerts selective effects on the consumption of saliva.

MICROBIAL ADAPTATION

The microbial ecosystem of the oral cavity has been largely affected by carbohydrates that are based on common six-carbon structures and are easily soluble in water. During evolution, such carbohydrates became ecological chemodeterminants just as the common amino acids became determinants in the nitrogen and protein metabolism of oral microorganisms. The easily soluble, common dietary sugars (e.g. glucose, fructose and sucrose), starches and related common six-carbon compounds (including sorbitol and mannitol) and their multiples are effectively metabolized by cariogenic species. These facts must therefore be considered when discussing possible microbial adaptations enabling clinically meaningful acidogenic breakdown of xylitol in plaque. The Turku sugar studies (63, 64) revealed no such adaptation nor was any significant adaptation detected after 4.5 years of continous consumption of xylitol (70).

Earlier studies had indicated that adaptation enabled dental plaque microorganisms to utilize xylitol as a carbon source (67, 84). It was discovered later that the plaque microorganisms started instead to exploit extracellular, chiefly salivary and dietary proteins, peptides, and amino acids as sources of energy, as discussed above. Thus no sizable adaptation to use xylitol has been found. Microbial adaptation to use xylitol may be an uneconomic way to gain energy. This situation may be particularly true for oral microorganisms.

The slow decomposition of xylitol by oral bacteria may also be based on the use of other, preexisting transport systems rather than on the appearance of specific new xylitol transport mechanisms. In yeasts that actively ferment pentose sugars (4), the situation may be different. Therefore, it is advisable in future long-term trials to arrange microbiological follow-up studies to check this matter. The Turku feeding studies indicated that the consumption of a xylitol diet reduced the incidence of oral *Candida* (63). The current literature suggests that the almost complete lack of xylitol fermentation in human dental plaque is genetically fixed, for reasons of evolutionary expediency.

COMPLEXING OF POLYOLS WITH METAL IONS

Complexing of multivalent cations with polyoxygen systems has been exploited in technology and biology, but has received virtually no attention in oral biology. Cyclic polyhydroxy compounds (e.g. the cyclitols and sugars) complex rather strongly with various multivalent cations (102–104). The site of specificity of complexation of the various alditols shows that the strength of complex formation is strongly dependent on the configuration

of the ligand. Using Pr^{III} , the following order of preferential configuration with respect to complex formation in aqueous solution has been given: xylo > threo > arabino (lyxo) > glycerol > erythro, ribo (102–104). Although these results were obtained with Pr^{III} , they are probably applicable to isosteric cations such as Na^{I} and Ca^{II} .

Sugar alcohols like xylitol, sorbitol, and mannitol form complexes with calcium salts, and the precipitation of calcium proteinates in saliva strongly depends on the type of the polyol used (105). Application of these chemical aspects to oral biology has only recently been considered, and it is not possible at this stage to indicate to what extent such phenomena contribute to the non- and anticariogenicity of xylitol in humans. The plaque of subjects consuming polyol-containing chewing gums contained more calcium than plaque collected from the same subjects before the use of chewing gums (85). Whether this calcium was present in plaque owing to its complexation with xylitol or sorbitol remains to be elucidated. Such calcium, however, would be available for remineralization of Ca-deficient the interphase of dental plaque.

The proposed therapeutic effect of xylitol (29, 31) in the dental caries of humans should be viewed against the following facts: (a) Xylitol causes nil or minimal decrease in plaque pH; (b) xylitol increases the formation and/or secretion of basic equivalents in the oral cavity; and (c) xylitol affects the precipitation of Ca-proteinates in saliva and itself forms complexes with Ca²⁺. Other factors may also participate in the rehardening of carious lesions. One such factor, still incompletely understood, is the redox state of plaque extracellular fluid

tion. Further findings

of xylitol in a 6% sucrose-containing medium strongly decreases the ratio of insoluble polysaccharides to water-soluble polysaccharides in plaque. Consideration of these effects may facilitate understanding of the long-term human clinical trials (29, 31).

CONCLUSIONS AND CONSIDERATIONS

A massive reduction of the increment rate of dental caries was observed with total and partial substitution of dietary sucrose by xylitol. Similar results were obtained in experimental studies, as reviewed in the initial sections of this paper.

These findings

logical and biochemical properties of xylitol. These include the stimulation of salivary secretion; elevation of certain electrolyte concentrations of oral fluid; increase of buffering of saliva, and increase or maintenance of high pH levels in oral fluid and plaque; lack of suitability for microbial metabo-

lism; influence on enzymatic activities; and also the increase of certain amino acids of oral fluid. Peroral xylitol stimulates a number of existing defense mechanisms against caries.

Strict sugar restriction over an extended time undoubtedly leads to a massive caries reduction. In view of biologic and behavioral preferences for sweets, however, restriction of sucrose intake without suggestion of alternatives is a recommendation that lies somewhere between hypocrisy and malpractice. In cases of rampant caries, extremely caries-susceptible tooth structure, sucromania, and reduced salivary secretion rate, the strategy of substitution should thus be considered and applied.

Literature Cited

- Socransky, S. S. 1979. Criteria for the infectious agents in dental caries and periodontal disease. J. Clin. Periodontol. 6:16-21
- Loesche, W. J. 1976. Chemotherapy of dental plaque infections. Oral Sci. Rev. 9:65-107
- Scheinin, A., Mäkinen, K. K., eds. 1975. Turku Sugar Studies, Acta Odontol. Scand. 33: (Suppl. 70) 1-351
 Mäkinen, K. K. 1978. Principles of the
- Mäkinen, K. K. 1978. Principles of the use of xylitol in medicine and nutrition with special consideration of dental aspects. Experientia 30: (Suppl.) 1-160
- Washüttl, J., Riederer, P., Bancher, E. 1973. A qualitative and quantitative study of sugar alcohols in several foods. J. Food Sci. 38:1262-63
- Mäkinen, K. K., Söderling, E. 1980. A quantitative study of mannitol, sorbitol, xylitol and xylose in wild berries and commercial fruits. J. Food Sci. 45: 367-71
- Huttunen, J. K., Mäkinen, K. K., Scheinin, A. 1975. Turku sugar studies. XI. Effects of sucrose, fructose and xylitol diets on glucose, lipid and urate metabolism. Acta Odontol. Scand. 33: (Suppl. 70) 239-45
- Mäkinen, K. K. 1976. Long-term tolerance of healthy human subjects to high amounts of xylitol and fructose: general and biochemical findings. Int. J. Vitam. Nutr. Res. 15: (Suppl.) 368-80
- Nutr. Res. 15: (Suppl.) 368-80
 9. Mäkinen, K. K., Ylikahri, R., Söderling, E., Mäkinen, P.-L., Scheinin, A. 1982. Turku sugar studies. XXII. A reexamination of the subjects. Suppl. Int. J. Vitam. Nutr. Res. 22:9-28
- Mäkinen, K. K., Ylikahri, R., Mäkinen, P.-L., Söderling, E., Hämäläinen, M. 1982. Turku sugar studies. XXIII. Comparison of metabolic tolerance in human volunteers to high oral doses of

- xylitol and sucrose after long-term regular consumption of xylitol. Suppl. Int. J. Vitam. Nutr. Res. 22:29-51
- Navia, J. M., Lopez, H., Fischer, J. S. 1974. Caries promoting properties of sucrose substitutes in foods: mannitol, xylitol and sorbitol. J. Dent. Res. 53: (Spec. Iss. A, No. 611) 207
- Mühlemann, H. R., Regolati, B., Marthaler, T. M. 1970. The effect on rat fissure caries of xylitol and sorbitol. Helv. Odontol. Acta 14:48-50
- Karle, E. J., Büttner, W. 1971. Kariesbefall im Tierversuch nach Verabreichung von Sorbit, Xylit, Lycasin und Calcium-Saccharosephosphat. *Dtsch. Zahnärtzl. Z.* 26:1097-1108
- Grunberg, E., Beskid, G., Brin, M. 1973. Xylitol and dental caries. Efficacy of xylitol in reducing dental caries in rats. Int. J. Vitam. Nutr. Res. 43: 227-32
- Brin, M., Miller, O. N. 1974. In Sugars in Nutrition, ed. H. L. Sipple, K. W. McNutt, pp. 590-606. NY: Academic. 768 pp.
- Karle, E. J., Gehring, F. 1975. Wirkung der Zuckeraustauschstoffe Fruktose, Sorbit und Xylit auf Kariesbefall und Plaqueflora der Ratte. Dtsch. Zahnärtzl. Z. 30:356-63
- Karle, E., Gehring, F. 1976. A gnotobiotic assay to determine the cariogenicity of xylitol-fermenting microorganisms. Caries Res. 10:249
- Narkates, A. J., Navia, J. M., Bates, D. 1976. Rat caries studies with xylitolcornstarch containing diets. J. Dent. Res. 55: (Spec. Iss. B., No. 455) 175
- Moll, R., Büttner, W. 1978. Caries incidence in the rat following partial substitution of sucrose by xylitol. *Caries Res.* 12:119

- Mühlemann, H. R., Schmid, R., Noguchi, T., Imfeld, T., Hirsch, R. S. 1977.
 Some dental effects of xylitol under laboratory and in vivo conditions. Caries Res. 11:263-76
- Gehring, F., Karle, J. E. 1978. Cariogenicity of L-sorbose compared with xylitol and sucrose in animal studies. Caries Res. 12:118-19
- Karle, E. J. 1977. Die Kariogenität von Xylit in Tierversuch. Dtsch. Zahnärztl. Z. 32:S89-95
- Mundorff, S., Bibby, B. 1977. Xylitolsucrose effects on enamel dissolution and rat caries. J. Dent. Res. 56: (Spec. Iss. B, No. 339) 136
- Green, R. M., Leach, S. A. 1977. Effect of xylitol dietary supplements on caries in the rat. J. Dent. Res. 56: (Spec. Iss. A, No. 207) 94
- Gey, F., Kinkel, H. T. 1978. Topical application of xylitol and other sucrose substitutes after restricted cariogenic meals. J. Dent. Res. 57: (Spec. Iss. A, No. 147) 111
- Leach, S. A., Green, R. M. 1979. Effect of xylitol-supplemented diets on the incidence of fissure caries in rats. *Caries* Res. 13:95-96
- Leach, S. A., Green, R. M. 1980. Effect of xylitol-supplemented diets on the progression and regression of fissure caries in the albino rat. *Caries Res.* 14:16-23
- Huxley, H. G. 1978. In Proc. Methods of Caries Prediction, Spec. Suppl. Microbiol. Abstr., ed. B. G. Bibby, R. J. Shern, pp. 211-16. Washington DC: IRL
- Scheinin, A., Mäkinen, K. K., Ylitalo, K. 1975. Turku sugar studies. V. Final report on the effect of sucrose, fructose and xylitol diets on the caries incidence in man. *Acta Odontol. Scand.* 33: (Suppl. 70) 67-104
- Horowitz, H. S., Baume, L. J., Backer-Dirks, O., Davies, G. N., Slack, G. L., eds., COCSTOC (Comm. Classif. Stat. Oral Condit.). 1973. Principal requirements for controlled clinical trials to caries preventive agents and procedures. Int. Dent. J. 23:506-16
- Scheinin, A., Mäkinen, K. K., Tammisalo, E., Rekola, M. 1975. Turku sugar studies. XVIII. Incidence of dental caries in relation to 1-year consumption of xylitol chewing gum. Acta Odontol. Scand. 33: (Suppl. 70) 307-16
- Nizel, A. E. 1972. Nutrition in Preventive Dentistry: Science and Practice, pp. 371-73. Philadelphia: Saunders. 506 pp.

- Von der Fehr, F. R., Löe, H., Theilade,
 E. 1970. Experimental caries in man. Caries Res. 4:131-48
- Rekola, M. 1980. Planimetry of incipient caries as affected by xylitol consumption. J. Dent. Res. 59: (Spec. Iss. B, No. 189) 934
- Slack, G. L., Millward, E., Martin, W. J. 1964. The effect of tablets stimulating salivary flow on the incidence of dental caries. A two-year clinical trial. *Brit. Dent. J.* 116:105-8
- Møller, I. J., Poulsen, S. 1973. The effect of sorbitol-containing chewing gum on the incidence of dental caries, plaque and gingivitis in Danish schoolchildren. Commun. Dent. Oral Epidemiol. 1:58-67
- Bánóczy, J., Esztári, I., Hadas, E., Marosi, I., Fözy, L., Szántó, S. 1978. Einjährige Erfahrungen mit Sorbit in klinischen Längsschnitt-Versuch. Dtsch. Zahnärztl. Z. 33:701-5
- Bánóczy, J., Hadas, E., Esztári, I., Marosi, I. 1979. Two-year clinical results with sorbitol. Caries Res. 13:90
- Bánóczy, J., Hadas, E., Esztári, I., Marosi, I., Fözy, L., Szántó, S. 1980. Dreijährige Erfahrungen mit Sorbit im klinischen Längsschnitt-Versuch. Kariesprophlaxe 2:39-46
- Frostell, G., Blomlöf, L., Blomqvist, T., Dahl, G. M., Edward, S., Fjellström, A., Henrikson, C. O., Larje, O., Nord, C. E., Nordenvall, K. J. 1974. Substitution of sucrose by Lycasin[®] in candy. "The Roslagen Study". Acta Odontol. Scand. 32:235-54
- Frostell, G., Blomqvist, Th., Bruner, P.
 O., Dahl, G. M., Fjellström, Å., Henrikson, C. O., Larje, O., Nord, C.-E.,
 Nordenvall, K.-J., Wik, O. 1982. Reduction of caries in pre-school children
 by sucrose restriction and by substitution with invert sugar—The Gustavsberg study. Acta Odontol. Scand. 39:
 333-47
- Scheinin, A. 1978. Sugar substitutes in relation to the incidence of clinical and experimental caries. *Pharmacol. Ther.* Dent. 3:95-100
- 43. Gustafsson, B. E., Quensel, C. E., Lanke, L. S., Lundqvist, C., Grahnén, H., Bonow, B. E., Krasse, K. 1953. The Vipeholm dental caries study. The effect of different levels of carbohydrate intake on caries activity in 436 individuals observed for five years. Acta Odontol. Scand. 11:232-364
- Harris, R. 1963. Biology of the children of Hopewood House, Bowral, Australia. IV. Observations of dental caries

- experience extending over five years (1957-1961). J. Dent. Res. 42:1387-98
- McDonald, S. P., Cowell, C. R., Sheiham, A. 1981. Methods of preventing dental caries used by dentists for their own children. *Brit. Dent. J.* 151:118-21
- Arends, J. 1982. Influence of xylitol on solubility complex formation of apatites. Caries Res. 16: In press
- Scheinin, A., Scheinin, U., Glass, R. L., Kallio, M.-L., Söderling, E. 1981.
 Xylitol-induced changes of enamel microhardness in the human mouth. J. Dent. Res. 60: (Spec. Iss. A, No. 817) 514
- Mäkinen, K. K. 1972. The role of sucrose and other sugars in the development of dental caries. *Int. Dent. J.* 22:363-86
- Mäkinen, K. K. 1974. Sugars and the formation of dental plaque. In Sugars in Nutrition, ed. H. L. Sipple, K. W. McNutt, pp. 645-87. NY: Academic. 768 pp.
- Dawes, C. 1968. The nature of dental plaque, films, and calcareous deposits. Ann. NY Acad. Sci. 153:102-19
- Schroeder, H. E., de Boever, J. 1970. The structure of microbial dental plaque. In *Dental Plaque*, ed. W. D. McHugh, pp. 49-75. Dundee, Scotland: Thompson. 298 pp.
- Scheinin, A., Mäkinen, K. K. 1971. The effect of various sugars on the formation and chemical composition of dental plaque. *Int. Dent. J.* 21:302-21
- Scheinin, A., Mäkinen, K. K. 1972.
 Effect of sugars and sugar mixtures on dental plaque. Acta Odontol. Scand. 30:235-57
- Mouton, C., Scheinin, A., Mäkinen, K. K. 1975. Effect on plaque of a xylitolcontaining chewing-gum. A pilot study. Acta Odontol. Scand. 33:27-31
- Mouton, C., Scheinin, A., Mäkinen, K. K. 1975. Effect on plaque of a xylitolcontaining chewing-gum. A clinical and biochemical study. Acta Odontol. Scand. 33:33-40
- Mouton, C., Scheinin, A., Mäkinen, K. K. 1975. Effect of a xylitol chewing gum on plaque quantity and quality. Acta Odontol. Scand. 33:251-57
- Harjola, U., Liesmaa, H. 1979. Effects of polyol and sucrose candies on plaque, gingivitis and lactobacillus scores. *Acta Odontol. Scand.* 36:237-42
- Pakkala, U., Liesmaa, H., Mäkinen, K. K. 1981. The use of xylitol in the control of oral hygiene in mentally retarded children. Proc. Finn. Dent. Soc. 77: 271-77

- Rekola, M., Läikkö, I., Anttinen, H., Scheinin, A., Mäkinen, K. K. 1980. Die Wirkung von xylit- und sorbithaltigen Kaugummis auf Plaque und Speichel. Teil I: Klinische Aspekte. Kariesprophylaxe 2:21-27
- Rekola, M. 1981. Comparative effects of xylitol- and sucrose-sweetened chew tablets and chewing gums on plaque quantity. Scand. J. Dent. Res. 89: 463-69
- Larmas, M., Scheinin, A., Gehring, F., Mäkinen, K. K. 1975. Turku sugar studies. XX. Microbiological findings and plaque index values in relation to 1-year use of xylitol chewing gum. Acta Odontol. Scand. 33: (Suppl. 70) 321-36
- Mäkinen, K. K., Scheinin, A. 1975. Turku sugar studies. VII. Principal biochemical findings on whole saliva and plaque. Acta Odontol. Scand. 33: (Suppl. 70) 129-71
- Larmas, M., Mäkinen, K. K., Scheinin, A. 1975. Turku sugar studies. VIII. Principal microbiological findings. Acta Odontol. Scand. 33: (Suppl. 70) 173– 216
- 64. Gehring, F., Mäkinen, K. K., Larmas, M., Scheinin, A. 1975. Turku sugar studies. X. Occurrence of polysaccharide-forming streptococci and ability of the mixed plaque microbiota to ferment various carbohydrates. Acta Odontol. Scand. 33: (Suppl. 70) 223-37
- Scand. 33: (Suppl. 70) 223-37
 65. Gehring, F. 1974. Mikrobiologische Aspekte zur Kariogenität von Zuckern und Zuckeraustauschstoffen. Dtsch. Zahnärztl. Z. 29:769-71
- Karle, E., Gehring, F. 1975. Wirkunge der Zuckeraustauschstoffe Fruktose, Sorbit und Xylit auf Kariesbefall und Plaqueflora der Ratte. *Dtsch. Zahnärztl.* Z. 30:356-63
- Mäkinen, K. K. 1972. Enzyme dynamics of a cariogenic streptococcus: The effect of xylitol and sorbitol. *J. Dent. Res.* 51:403-8
- Mäkinen, K. K., Ojanotko, A., Vidgren, H. 1975. Effect of xylitol on the growth of three oral strains of Candida albicans. J. Dent. Res. 54:1239
- Mäkinen, K. K. 1976. Microbial growth and metabolism in plaque in the presence of sugar alcohols. In Proc. Microbial Aspects in Dental Caries, Spec. Suppl. Microbiol. Abstr., ed. H. M. Stiles, W. J. Loesche, T. C. O'Brien, II:521-38. Washington DC:IRL 580 pp.
- Mäkinen, K. K., Virtanen, K. K. 1978. Effect of 4.5-year use of xylitol and sorbitol on plaque. J. Dent. Res. 57:441-46

- Mäkinen, K. K., Rekola, M. 1976.
 Xylitol-binding in dental plaque. J. Dent. Res. 55:900-4
- Gehring, F. 1971. Saccharose und Zuckeraustauschstoffe im mikrobiologischen Test. Dtsch. Zahnärztl. Z. 26:1162~71
- Gülzow, H.-J. 1974. Vergleidende Untersuchungen über den Abbau von Xylit im menschlichen Speichel. Dtsch. Zahnärztl. Z. 29:772-75
- närztl. Z. 29:772-75
 74. Noguchi, T., Mühlemann, H. R. 1976.
 The effect of some carbohydrates on in vitro growth of Streptococcus mutans and Actinomyces viscosus. Schweiz.
 Mschr. Zahnheilk. 86:1361-70
- Gehring, F., Gülzow, H.-J. 1977. Beitrag zum mikrobiellen Xylitabbau. Dtsch. Zahnärztl. Z. 32:580-82
- Hoerman, K. C. 1979. Enumeration of Streptococcus mutans in human dental plaque after chewing gum sweetened with xylitol and sucrose. Pharmacol. Therap. Dent. 4:11-19
- Rölla, G., Oppermann, R. V., Waaler, S. M., Assev, S. 1981. Effect of aqueous solutions of sorbitol-xylitol on plaque metabolism and on growth of Streptococcus mutans. Scand. J. Dent. Res. 89:247-50
- Semlinger, E. 1977. Vergleichende biochemische Untersuchungen über den anaeroben Abbau von Xylit durch Plaquesmikroorganismen des Menschen. Academic thesis, Friedrich-Alexander-Univ., Erlangen-Nürnberg. Germany. 43 pp.
- Toors, F. A., Herczog, J. I. B. 1978. Acid production from a nonsugar licorice and different sugar substitutes in Streptococcus mutans monoculture and pooled plaque-saliva mixtures. Caries Res. 12:60-68
- Demetrakopoulos, G. E., Amos, H. 1978. Xylose and xylitol. Wld. Rev. Nutr. Diet. 32:96–122
- Wu, T. T., Lin, E. C. C., Tanaka, S. 1968. Mutants of Aerobacter aerogenes capable of utilizing xylitol as a novel carbon. J. Bacteriol. 96:447-56
- Gallagher, I. H. C., Fussell, S. J. 1979. Acidogenic fermentation of pentose alcohols by human dental plaque microorganisms. Arch. Oral Biol. 24: 673-79
- Knuuttila, M. L. E., Mäkinen, K. K. 1981. Extracellular hydrolase activity of the cells of the oral bacterium Streptococcus mut ans isolated from man and grown on glucose or xylitol. Arch. Oral Biol. 26:899-904

- Knuuttila, M. L. E., Mäkinen, K. K. 1975. The effect of xylitol on the growth and metabolism of Streptococcus mutans. Caries Res. 9:188-89
- Mäkinen, K. K., Läikkö, I., Rekola, M., Scheinin, A. 1980. Die Wirkung von Xylit und Sorbit auf die Biochemie der Plaque. Kariesprophylaxe 3:103-13
- Mäkinen, K. K., Kölling, D., Mäkinen, P.-L. 1981. Effect of high dosage of xylitol and sucrose on the biochemical properties of whole saliva in human volunteers after long-term regular consumption of xylitol. Proc. Finn. Dent. Soc. 77:262-70
- Mäkinen, K. K., Lönnberg, P., Scheinin, A. 1975. Turku sugar studies. XIV.
 Amino acid analysis of saliva. Acta Odontol. Scand. 33: (Suppl. 70) 277-86
- Krzeminski, Z. 1975. Free amino acid pools of cariogenic streptococci. J. Dent. Res. 54:183
- Brown, A. T., Wittenberger, C. C. 1973.
 Mannitol and sorbitol catabolism in Streptococcus mutans. Arch. Oral Biol. 18:117-26
- Dallmeier, E., Bestmann, H.-J., Kröncke, A. 1970. Über den Abbau von Glukose und Sorbit durch Plaques-Streptokokken. Dtsch. Zahnärztl. Z. 25:887-98
- Hassel, T. M. 1971. pH-Telemetrie der interdentaler Plaque nach Genuss von Zucker und Zuckeraustauschstoffen. Dtsch. Zahnärztl. Z. 26:1145-54
- Imfeld, Th. 1977. Evaluation of the cariogenicity of confectionary by intraoral wire-telemetry. Helv. Odontol. Acta 21:1-28
- Mühlemann, H. R., Schmid, R., Noguchi, T., Imfeld, T., Hitsch, R. S. 1977.
 Some dental effects of xylitol under laboratory and in vivo conditions. Caries Res. 11:263-76
- Mäkinen, K. K., Tenovuo, J., Scheinin, A. 1976. Xylitol-induced elevation of lactoperoxidase activity in human saliva. J. Dent. Res. 55:652-60
- Mäkinen, K. K., Bowen, W. H., Dalgard, D., Fitzgerald, G. 1978. Effect of peroral administration of xylitol on exocrine secretions of monkeys. *J. Nutr.* 108:779-89
- Mäkinen, K. K. 1978. The use of xylitol in nutritional and medical research with special reference to dental caries. In Proc. Sweeteners and Dental Caries, Spec. Suppl. Feeding, Weight & Obesity Abstr. ed. J. H. Shaw, G. G. Roussos, pp. 193-224. Washington DC:IRL 403 pp.

97. Mäkinen, K. K. 1978. Biochemical findings in exocrine secretions in relation to peroral administration of xylitol. In Xylitol, ed. J. N. Counsell, Ch. 6. Barking, England: Appl. Sci. Publ. 191 pp.

98. Afonsky, D. 1961. Saliva and its Relation to Oral Health, p. 154. Alabama:

Univ. Alabama Press. 785 pp. 99. Morrison, M., Steele, W. F. 1968. In Biology of the Mouth, ed. P. Person, pp. 89-110. Washington DC: Am. Assoc. Adv. Sci. 301 pp.

100. Björck, L. 1977. Studies on the antibacterial effect of the lactoperoxidase system on some gramnegative bacteria. Academic thesis, Landbrukshögskolan, Uppsala, Sweden.

101. Reiter, B. 1978. Review of the progress of dairy science: Antimicrobial systems

- in milk. J. Dairy Res. 45:131-47 102. Angyal, S. J. 1973. Complex formation between sugars and metal ions. Pure Appl. Chem. 35:131-46
- 103. Angyal, S. J., Davies, K. P. 1971. Complexing of sugars with metal ions. J. Chem. Soc. Chem. Commun. 500-1
- 104. Kieboom, A. P. G., Spoormaker, T., Sinnema, A., van der Toorn, J. M., van Bekkum, H. 1975. ¹H-NMR study of the complex formation of alditols with multivalent cations in aqueous solutions using praseodymium^{III} nitrate as shift reagent. Rec. J. R. Neth. Chem. Soc. 94:53-59
- 105. Söderling, E., Mäkinen, K. K. 1982. Precipitation of human salivary Caproteinates in the presence of simple carbohydrates in vivo. J. Dent. Res. 61: (Spec. Issue. No. 265) 208